

ORANGE COUNTY EMPLOYEES RETIREMENT SYSTEM  
BOARD OF RETIREMENT  
2223 E. WELLINGTON AVENUE, SUITE 100  
SANTA ANA, CALIFORNIA

REGULAR MEETING  
Monday, October 15, 2018  
9:00 a.m.

AGENDA

The Orange County Board of Retirement welcomes you to this meeting. This agenda contains a brief general description of each item to be considered. The Board of Retirement may take action on any item included in the following agenda; however, except as otherwise provided by law, no action shall be taken on any item not appearing on the agenda. The Board of Retirement may consider matters included on the agenda in any order, and not necessarily in the order listed.

PLEDGE OF ALLEGIANCE

PUBLIC COMMENTS

At this time, members of the public may comment on (1) matters not included on the agenda, provided that the matter is within the subject matter jurisdiction of the Board; and (2) any matter appearing on the Consent Agenda.

When addressing the Board, please state your name for the record prior to providing your comments. Speakers will be limited to three (3) minutes.

*In addition, public comment on matters listed on this agenda will be taken at the time the item is addressed. Persons wishing to address items on the agenda should provide written notice to the Secretary of the Board prior to the Board's discussion on the item by signing in on the Public Comment Sign-In Sheet located at the back of the room.*

CONSENT AGENDA

All matters on the Consent Agenda are to be approved by one action unless a Board Member requests separate action on a specific item.

BENEFITS
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**C-1 OPTION 4 RETIREMENT ELECTION**

**Recommendation:** Grant election of retirement benefit payment, Option 4, based on Segal Consulting's actuarial report.

- (1) Stanley Davis
- (2) Patricia Padilla

**ADMINISTRATION**

**C-2 BOARD MEETING MINUTES**

Regular Board Meeting Minutes  
Strategic Planning Workshop Minutes

August 20, 2018  
September 12-13, 2018

**Recommendation:** Approve minutes.

**C-3 RETIREE REQUEST TO BE REINSTATED-SHANNON PETERSON**

**Recommendation:** Reinstate Ms. Peterson as an active member under the provisions of Government Code Section 31680.4 and 31680.5

**ACTION ITEMS**

**NOTE:** Public comment on matters listed in this agenda will be taken at the time the item is addressed, prior to the Board's discussion of the item. Persons wishing to address items on the agenda should provide written notice to the Secretary of the Board prior to the Board's discussion on the item by signing in on the Public Comment Sign-In Sheet located at the back of the room.

**A-1 INDIVIDUAL ACTION ON ANY ITEM TRAILED FROM THE CONSENT AGENDA**

**A-2 GARTNER CONTRACT AMENDMENT**

*Presentation by Brenda Shott, Assistant CEO Internal Operations and Matt Eakin, Director of Cyber Security, OCERS*

**Recommendation:** Approve both the purchase of a Gartner Enterprise IT Leaders Individual: Security and Risk Management license (EITL) for the Director of Cyber Security and amendment to the current Gartner contract accordingly.

**A-3 REASSIGNMENT OF POLICIES TO THE GOVERNANCE COMMITTEE FOR REVIEW**

*Presentation by Gina Ratto, General Counsel, OCERS*

**Recommendation:** Reassign to the Governance Committee for review the policies that are currently reviewed by OCERS staff and presented directly to the Board.

**A-4 TRIENNIAL REVIEW OF:**

- a) PROCUREMENT AND CONTRACTING POLICY
- b) DISPOSITION OF EQUIPMENT POLICY

- c) MEMBER SERVICES CUSTOMER SERVICE POLICY
- d) DATA REQUEST POLICY

*Presentation by Brenda Shott, Assistant CEO Internal Operations, Suzanne Jenike, Assistant CEO External Operations and Jim Doezie, Contracts Administrator, OCERS*

**Recommendation:**

- A) Approve revisions to the Procurement and Contracting Policy
- B) Approve revisions to the Disposition of Surplus Property Policy (previously named Disposition of Equipment Policy)
- C) Approve revisions to the Customer Service Policy
- D) Approve revisions to the Data Request Policy

**A-5 OCERS 2019-2021 STRATEGIC PLAN**

*Presentation by Steve Delaney, Chief Executive Officer, OCERS*

**Recommendation:** Approve OCERS 2019-2021 Strategic Plan.

**A-6 OCERS 2019 BUSINESS PLAN**

*Presentation by Steve Delaney, Chief Executive Officer, and Brenda Shott, Assistant CEO Internal Operations, OCERS*

**Recommendation:** Approve OCERS 2019 Business Plan.

**A-7 VOTING DIRECTION FOR SACRS BUSINESS MEETING**

*Presentation by Gina Ratto, General Counsel, OCERS*

**Recommendation:** Give voting direction to OCERS' delegates for the November 15, 2018 SACRS business meeting.

**A-8 CYPRESS RECREATION AND PARKS CONTINUING CONTRIBUTION AGREEMENT**

*Presentation by Brenda Shott, Assistant CEO Internal Operations and Gina Ratto, General Counsel, OCERS*

**Recommendation:** Approve the Withdrawing Employer and Continuing Contribution Agreement with Cypress Recreation and Parks District.

**A-9 APPROVE CONFERENCE ATTENDANCE AND RELATED TRAVEL EXPENSES FOR TRUSTEE RUSSELL BALDWIN**

*Presentation by Steve Delaney, Chief Executive Officer, OCERS*

**Recommendation:** Approve Trustee Russell Baldwin's attendance and related travel expenses including overnight accommodations for the SRI 29 Conference, November 1 - 3, 2018, at the Broadmoor Hotel in Colorado Springs, Colorado in the approximate amount of \$2,595.

**INFORMATION ITEMS**

**I-1 MEMBER MATERIALS DISTRIBUTED**

Written Report

Application Notices

October 15, 2018

Death Notices

October 15, 2018

**I-2 COMMITTEE MEETING MINUTES**

- None

**I-3 LEGISLATIVE UPDATE**

Written Report

**I-4 QUIET PERIOD – NON-INVESTMENT CONTRACTS**

Written Report

**I-5 TRAVEL REPORT – SANTA BARBARA-VENTURA SITE VISIT**

Written Report

**I-6 TRAVEL REPORT – PROFESSIONAL IN HUMAN RESOURCES CONFERENCES (PIHRA)**

Written Report

**I-7 UPDATE ON PROPOSED LEGISLATIVE AMENDMENT TO INCREASE BOARD MEMBER STIPEND**

Written Report

**I-8 GENERAL MEMBER ELECTION UPDATE**

Written Report

**I-9 BUSINESS CONTINUITY AND DISASTER RECOVERY PLAN UPDATE**

Written report

**I-10 BUSINESS CONTINUITY AND DISASTER RECOVERY PLAN EXERCISE EXECUTIVE SUMMARY**

Written Report

**I-11 FUTURE BOARD MEETING MANAGEMENT PORTAL PROCUREMENT**

Written Report

**I-12 CEO FUTURE AGENDAS AND 2018 OCERS BOARD WORK PLAN**

Written Report

**I-13 THIRD QUARTER 2018 EDUCATION AND TRAVEL EXPENSE REPORT**

Written Report

**I-14 BOARD COMMUNICATIONS**

Written Report

**I-15 MASTER FINAL AVERAGE SALARY PROJECT UPDATE**

*Presentation by Suzanne Jenike, Assistant CEO External Operations and Gina Ratto, General Counsel, OCERS*

**I-16 CYBER SECURITY PRESENTATION**

*Presentation by Matt Eakin, Director of Cyber Security, OCERS*

**DISABILITY/MEMBER BENEFITS AGENDA**

**11:00AM**

**NOTE: WHEN CONSIDERING DISABILITY RETIREMENT APPLICATIONS OR MEMBER APPEALS OF BENEFIT OR DISABILITY RETIREMENT DETERMINATIONS, THE BOARD MAY ADJOURN TO CLOSED SESSION TO DISCUSS MATTERS RELATING TO THE MEMBER'S APPLICATION OR APPEAL, PURSUANT TO GOVERNMENT CODE SECTIONS 54957 OR 54956.9. IF THE MATTER IS A DISABILITY APPLICATION UNDER SECTION 54957, THE MEMBER MAY REQUEST THAT THE DISCUSSION BE IN PUBLIC.**

**OPEN SESSION**

**CONSENT AGENDA**

All matters on the Consent Agenda are to be approved by one action unless a Board member requires separate action on a specific item. If separate action is requested, the item will be discussed during agenda item DA-1.

**DC-1 DISABILITY APPLICATION-GUSTAVO GONZALEZ**

Field Representative, Orange County Community Resources, General Member

Recommendation: The Disability Committee recommends the Board deny service connected disability retirement due to insufficient evidence of job causation.

**DC-2 DISABILITY APPLICATION-BRIAN PETROS**

Administrative Manager I, Orange County Health Care Agency, General Member

Recommendation: The Disability Committee recommends the Board deny Service and non-service connected disability retirement due to insufficient evidence of permanent incapacity.

**DC-3 DISABILITY APPLICATION-ADALIA CASANOVA**

Data Entry Technician, Orange County Social Services Agency, General Member

Recommendation: The Disability Committee recommends the Board deny service and non-service connected disability retirement without prejudice due to the member's failure to cooperate.

**DC-4 DISABILITY APPLICATION-ERICA ESPINOSA**

Sheriff's Records Technician, Orange County Sheriff's Department, General Member

Recommendation: The Disability Committee recommends the Board deny service and non-service connected disability retirement without prejudice due to the member's failure to cooperate.

**DC-5 DISABILITY APPLICATION-TERESA GABRIELSON**

Firefighter, Orange County Fire Authority, Safety Member

Recommendation: The Disability Committee recommends the Board grant service connected disability retirement with an effective date of December 20, 2016.

**DC-6 DISABILITY APPLICATION-JOSEPH HARPEL**

Coach Operator, Orange County Transportation Authority, General Member

Recommendation: The Disability Committee recommends the Board grant service connected disability retirement with an effective date of January 9, 2017.

**DC-7 DISABILITY APPLICATION-CHARLES JOHNSON**

Deputy Sheriff II, Orange County Sheriff's Department, Safety Member

Recommendation: The Disability Committee recommends the Board grant service connected disability retirement with an effective date of March 16, 2018.

**DC-8 DISABILITY APPLICATION-LAURENCE MANN**

Fire Captain, Orange County Fire Authority, Safety Member

Recommendation: The Disability Committee recommends the Board grant service connected disability retirement with an effective date of March 16, 2018.

**DC-9 DISABILITY APPLICATION-TRONG NGUYEN**

Deputy Sheriff I, Orange County Sheriff's Department, Safety Member

Recommendation: The Disability Committee recommends the Board grant service connected disability retirement with an effective date of the day after the last date of regular compensation.

**DC-10 DISABILITY APPLICATION-ROBERT OSBORNE**

Captain, Orange County Sheriff's Department, Safety Member

Recommendation: The Disability Committee recommends the Board grant service connected disability retirement with an effective date of August 4, 2017.

**DC-11 DISABILITY APPLICATION-CHRISTOPHER RATHMAN**

Fire Apparatus Engineer, Orange County Fire Authority, Safety Member

Recommendation: The Disability Committee recommends the Board grant service connected disability retirement with an effective date of January 3, 2018.

**DC-12 DISABILITY APPLICATION-FREDERICK SAGE**

Fire Apparatus Engineer, Orange County Fire Authority, Safety Member

Recommendation: The Disability Committee recommends the Board grant service connected disability retirement with an effective date of March 16, 2018.

**DC-13 DISABILITY APPLICATION-MICHAEL SARNO**

Deputy Sheriff II, Orange County Sheriff's Department, Safety Member

Recommendation: The Disability Committee recommends the Board grant service connected disability retirement with an effective date of November 10, 2017.

**DC-14 DISABILITY APPLICATION-MICHAEL P. ALBA**

Firefighter, Orange County Fire Authority, Safety Member

Recommendation: The Disability Committee recommends the Board grant service connected disability retirement with an effective date of September 13, 2017.

**DC-15 DISABILITY APPLICATION-MICHAEL BILEK**

Firefighter, Orange County Fire Authority, Safety Member

Recommendation: The Disability Committee recommends the Board grant service connected disability retirement with an effective date of the day after the last day of regular compensation.

**DC-16 DISABILITY APPLICATION-ZINA TICE**

Eligibility Technician, Orange County Social Services Agency, General Member

Recommendation: The Disability Committee recommends the Board grant service connected disability retirement with an effective date of December 6, 2017.

**DC-17 DISABILITY APPLICATION-SCOTT VAN DE KREEKE**

Deputy Sheriff I, Orange County Sheriff's Department, Safety Member

Recommendation: The Disability Committee recommends the Board grant service connected disability retirement with an effective date of the day after the last day of regular compensation.

**CLOSED SESSION**

**(Government Code sections 54957 and 54956.9)**

The Board will adjourn to Closed Session pursuant to Government Code sections 54957 or 54956.9 to discuss matters relating to member applications or appeals. The member may request that the discussion relating to his or her application or appeal take place in Open Session.

**ACTION ITEMS**

**DA-1: INDIVIDUAL ACTION ON ANY ITEM TRAILED FROM THE CONSENT AGENDA**

**DISABILITY/MEMBER BENEFITS AGENDA**

**DA-2: DISABILITY APPEAL-CONRADO PORTILLO**

Coach Operator, Orange County Transportation Authority

Recommendation: Staff recommendation is to approve and adopt the findings and recommendations of the Hearing Officer as set forth in the Proposed Decision and grant Applicant's application for service connected disability retirement benefits with an effective date of December 13, 2014, the day after the last day Applicant received regular compensation.

**DA-3: DISABILITY APPEAL-ROBERT BOLEN**

Power Equipment Operator III, Orange County Public Works

**Recommendation:** Staff recommendation is to approve and adopt the Summary of Evidence, Findings of Fact, Conclusions of Law, and Recommendations of the Hearing Officer and deny Applicant's request for an earlier effective date.

**DA-4: BENEFIT APPEAL-JAMES MORELL**

Research Attorney, Orange County Superior Court, General Member

**Recommendation:** Staff recommends the Board adopt as presented Staff's proposed Findings of Fact, Conclusions of Law and Decision in the Application of James B. Morell for a Recalculated Retirement Allowance.

**OPEN SESSION**

**REPORT OF ACTIONS TAKEN IN CLOSED SESSION**

**BOARD MEMBER COMMENTS**

**CHIEF EXECUTIVE OFFICER/STAFF COMMENTS**

**COUNSEL COMMENTS**

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**ADJOURNMENT: (IN MEMORY OF THE ACTIVE MEMBERS, RETIRED MEMBERS, AND SURVIVING SPOUSES WHO PASSED AWAY THIS PAST MONTH)**

**NOTICE OF NEXT MEETINGS**

**BUDGET WORKSHOP**

**October 18, 2018**

**9:00 A.M.**

**ORANGE COUNTY EMPLOYEES RETIREMENT SYSTEM**

**2223 E. WELLINGTON AVENUE, SUITE 100**

**SANTA ANA, CA 92701**

**INVESTMENT COMMITTEE MEETING**

**October 25, 2018**

**9:00 A.M.**

**ORANGE COUNTY EMPLOYEES RETIREMENT SYSTEM**

**2223 E. WELLINGTON AVENUE, SUITE 100**

**SANTA ANA, CA 92701**



**DISABILITY COMMITTEE MEETING**  
**November 6, 2018**  
**10:00 A.M.**

**ORANGE COUNTY EMPLOYEES RETIREMENT SYSTEM**  
**2223 E. WELLINGTON AVENUE, SUITE 100**  
**SANTA ANA, CA 92701**

**REGULAR BOARD MEETING**  
**November 19, 2018**  
**9:00 A.M.**

**ORANGE COUNTY EMPLOYEES RETIREMENT SYSTEM**  
**2223 E. WELLINGTON AVENUE, SUITE 100**  
**SANTA ANA, CA 92701**

*All supporting documentation is available for public review in the retirement office during regular business hours, 8:00 a.m. – 5:00 p.m., Monday through Thursday and 8:00 a.m. – 4:30 p.m. on Friday.*

It is OCERS' intention to comply with the Americans with Disabilities Act ("ADA") in all respects. If, as an attendee or participant at this meeting, you will need any special assistance beyond that normally provided, OCERS will attempt to accommodate your needs in a reasonable manner. Please contact OCERS via email at [adminsupport@ocers.org](mailto:adminsupport@ocers.org) or call 714-558-6200 as soon as possible prior to the meeting to tell us about your needs and to determine if accommodation is feasible. We would appreciate at least 48 hours' notice, if possible. Please also advise us if you plan to attend meetings on a regular basis.